

8-28-00

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## EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Dave Glisson	Express Mail No.	EL563650071US
Signature	<i>Dave Glisson</i>	Date	August 24, 2000

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Atty Docket No.	CORA-011
First Named Inventor	Brent R. Constantz
Title:	FLUID DELIVERY SYSTEMS FOR DELIVERING FLUIDS TO MULTI-LUMEN CATHETERS

1C875 649292  
09/09/00  
PTO  
1C875 649292  
09/09/00

APPLICATION ELEMENTS		Commissioner for Patents Box Patent Application Washington, D.C. 20231
See MPEP chapter 600 concerning utility patent application contents		Address to:
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <u>24</u> (preferred arrangement set forth below)            - Descriptive title of the invention            - Cross Reference to Related Applications            - Statement Regarding Fed sponsored R &amp; D            - Reference to Microfiche Appendix</p>		<p>5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Copy            b. <input type="checkbox"/> Paper Copy (identical to computer copy)            c. <input type="checkbox"/> Statement verifying identity of above copies</p>
- Background of the Invention		<b>ACCOMPANYING APPLICATION PARTS</b>
<p>- Brief Summary of the Invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p>		<p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (<i>when there is an assignee</i>)</p> <p>9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p>
<p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>5</u></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Sheets</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)            b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 16 completed)            i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>c. <input type="checkbox"/> Unsigned</p>		<p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)</p> <p>13. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) <input type="checkbox"/> Status still proper and desired</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)</p> <p>15. <input type="checkbox"/> Other:</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____</p>		

# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

Attorney Docket Number	CORA-011
Named Inventor	Brent R. Co
Application Number	N/A
Filing Date	Herewith
Examiner Name & Group Art Unit Unit	N/A
Title	FLUID DELIVERY SYSTEMS FOR DELIVERING FLUIDS TO MULTI-LUMEN CATHETERS

## METHOD OF PAYMENT

- A Check Is Enclosed
- The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to! Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$345
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
				Subtotal (1)	\$345

### 2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	50	-	20	\$270
Ind. claims	6	-	3	\$117
Multiple Dependent claims			x	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
				Subtotal (2) \$387

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
				Other fee (\$)	

Reduced by Basic Filing Fee Paid

**TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815**

**SUBTOTAL (3) \$40**

**(\$772.00)**

Submitted by (Typed Name)	Bret E. Field	BOZICEVIC, FIELD & FRANCIS LLP
Signature		Date 2/100 Reg. Number 37,620

UTILITY PATENT APPLICATION TRANSMITTAL  
(Only for new non-provisional applications under 37 CFR 1.53(b))

**17. CORRESPONDENCE ADDRESS**

Individual Name	Bret E. Field		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City, State, Zip	Menlo Park, CA 94025		
Country	U.S.A.		
Telephone	(650) 327-3400	Facsimile	(650) 327-3231

**SIGNATURE of Applicant or Assignee of Record**

Individual Name	Bret E. Field		
Registration No.	37,620		
Signature			
Date	8.24.00		

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**STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27 (c))  
SMALL BUSINESS CONCERN**

Attorney Docket	CORA-011
First Named Inventor	Brent R. Constantz
Application Number	N/A
Filing Date	Herewith
Title	Fluid Delivery Systems for....

I hereby state that I am:

the owner of the small business concern identified below;  
 an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF SMALL BUSINESS CONCERN: Corazón Technologies, Inc  
 ADDRESS OF SMALL BUSINESS CONCERN: 199 Jefferson, Menlo Park CA 94025

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

Fluid Delivery Systems for Delivering Fluids to Multi-Lumen Catheters

the specification filed herewith with title as listed above.  
 the application identified above.  
 the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or by a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

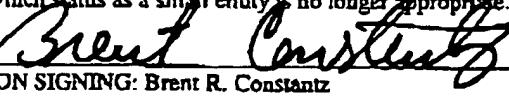
no such person, concern, or organization exists.  
 each such person, concern, or organization is listed below.

NAME: \_\_\_\_\_  
 ADDRESS: \_\_\_\_\_

Individual  Small Business Concern  Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE:  DATE: 8-22-00

NAME OF PERSON SIGNING: Brent R. Constantz

TITLE IN ORGANIZATION OF PERSON SIGNING: President

ADDRESS OF PERSON SIGNING: 199 Jefferson, Menlo Park CA 94025